



Receipt #8

**FILE COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ritzdorf, Thomas L., *et al.* )  
)  
For: METHOD FOR FILLING RECESSED )  
MICROSTRUCTURES WITH )  
METALLIZATION IN THE PRODUCTION )  
OF A MICROELECTRONIC DEVICE )  
Serial No.: 09/018,783 )  
)  
Filed: February 4, 1998 )  
)  
)  
)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: **Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231**, on the date below.

Denise Allen

August 9, 2000  
(Date)

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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TECHNOLOGY CENTER 2800

Dear Sir:

The applicants request that the most recent Filing Receipt dated June 29, 2000, be corrected to reflect that the correct Filing Date of the above-captioned patent application is February 4, 1998. Please find enclosed a copy of the Filing Receipt dated June 29, 2000, with the correction noted in red.

On December 21, 1999, applicants were granted their Petition under 37 CFR 1.137(b) filed on November 8, 1999 (copy enclosed). As indicated in the decision of the Special Programs DAC For Patents, the Filing Date for the above-captioned patent is February 4, 1998.

The error in the Filing Date is believed to be an error which originated in the USPTO. Correspondingly, no fee for correction is believed to be due.

However, in the event a fee is deemed to be necessary, the Commissioner is authorized to charge or credit our Deposit Account 04-1644.

Respectfully submitted,

By: Lawrence Chapa  
Lawrence J. Chapa, Reg. No. 39,135

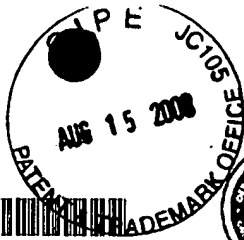
Attorney Docket No.: SEM4492P0080US  
ROCKEY, MILNAMOW & KATZ, LTD.  
Two Prudential Plaza, 47th Floor  
180 North Stetson  
Chicago, Illinois 60601  
Telephone: 312-616-5400

SEM4492 P0080US  
RBP

## FILING RECEIPT



\*OC00000005210849\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/018,783	11/08/1999 02/04/98	2825	1408	11928US01	5	31	6

ROCKEY, MILNAMOW & KATZ  
TWO PRUDENTIAL PLAZA, 47TH FLOOR  
180 NORTH STETSON  
CHICAGO, IL 60601RECEIVED  
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Date Mailed: 06/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

THOMAS L. RITZDORF, BIGFORK, MT ;  
LYNDON W. GRAHAM, KALISPELL, MT ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 04/22/1998

## Title

METHOD FOR FILLING RECESSED MICRO-STRUCTURES WITH METALLIZATION IN THE  
PRODUCTION OF A MICROELECTRONIC DEVICE

## Preliminary Class

438

Data entry by : BRITTON, PAULA

Team : OIPE

Date: 06/29/2000





SEM 192 P008005  
RBP

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Paper No. 5

ROCKEY, MILNAMOW & KATZ  
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DAC FOR PATENTS

ON PETITION

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In re Application of  
Ritzdorf et al.  
Application No. 09/018,783  
Filed: 4 February, 1998  
Attorney Docket No. 11928US01

This is a decision on the petition under 37 CFR 1.137(b)<sup>1</sup>, filed on 8 November, 1999, to revive the above-identified application.

The petition is **GRANTED**.

The application became abandoned on 24 June, 1998, for failure to timely submit an executed oath or declaration as required by the Notice To File Missing Parts of Application (Notice) mailed on 23 April, 1998. The Notice set a two (2) month shortened statutory period for reply. No extensions of the time for reply in accordance with 37 CFR 1.136(a) were obtained. Notice of Abandonment was mailed on 26 March, 1999.

<sup>1</sup>Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

(1) the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof.

(2) the petition fee as set forth in 37 CFR 1.17(m);

(3) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional; and

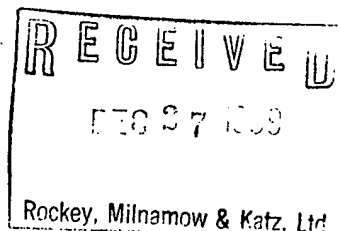
(4) any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c).

Rockey, Milnamow & Katz, Ltd.

Date: 12/29/99

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Semitool # P98-0001



The statement of unintentional delay was not signed by a person who would have been in a position of knowing that the delay in filing a timely response was unintentional. Nevertheless, in accordance with 37 CFR 10.18, the statement is accepted as constituting a certification of unintentional delay. However, in the event that petitioner has no knowledge that the delay was in fact unintentional, petitioner should make a reasonable inquiry to ascertain that, in fact, the delay was unintentional. If petitioner discovers that the delay was intentional, petitioner must so notify the Office.

This application file will be forwarded to Office of Initial Patent Examination for further processing.

Telephone inquiries concerning this matter may be directed to Petitions Attorney Douglas I. Wood at (703) 308-6918.

A handwritten signature in black ink, appearing to read "Beverly M. Flanagan". The signature is fluid and cursive, with a long horizontal stroke at the end.

Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Assistant Commissioner  
for Patent Policy and Projects



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
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